# CSCI 3434: Theory of Computation

Lecture 02: Regular Languages and Finite Automata

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### Alphabet, Strings, and Languages

- An alphabet  $\Sigma = \{a, b, c\}$  is a finite set of letters/symbols.
- A string over an alphabet  $\Sigma$  is finite sequence of symbols, e.g.
  - sequences *cab*, *baa*, and *aaa* are some strings over  $\Sigma = \{a, b, c\}$
  - sequences  $\epsilon$ , 0, 1, 00, and 01 are some strings over  $\Sigma = \{0, 1\}$
- $\Sigma^*$  is the set of all strings over  $\Sigma$ , e.g.  $aabbaa \in \Sigma^*$ ,
- Naturally, A language L is a collection/set of strings over some alphabet, i.e.  $L \subseteq \Sigma^*$  e.g.,
  - $L_{even} = \{ w \in \Sigma^* : w \text{ is of even length} \}$
  - $L_{\{a^nb^n\}} = \{w \in \Sigma^* : w \text{ is of the form } a^nb^n \text{ for } n \ge 0\}$

## Programs to Accept Languages

- We say that a program accepts a language, if for every string input, it returns yes, if the string is in the language and no otherwise.
- Let's write programs to accept languages  $L_{even}$  and  $L_{\{a^n b^n\}}$ .
- Let's consider more fancy languages:
  - Language of all three colorable graphs
  - Language of all prime numbers
  - Language of all sorted arrays
  - Language of all true theorems in a given logic
- Can we write programs to accept all the languages?
  - Decidable and Undecidable Languages

# Existence of undecidable languages.

Proof.

- 1. Set of all programs over some instruction:
  - $P = \{\epsilon, 0, 1, 00, 01, 10, 11, ...\}$  over binary alphabet  $\Sigma = \{0, 1\}$ .
- 2. Set of all strings over alphabet  $\Sigma = \{0, 1\}$ :

•  $S = \{\epsilon, 0, 1, 00, 01, 10, 11, ...\}$ 

3. Set of all languages over alphabet  $\Sigma = \{0, 1\}$  are  $2^{S}$  e,g,

•  $L_1 = \{0, 1\}, L_2 = \{00, 11, 11, 10\}, L_3 = \emptyset$ 

4. From *Cantor's theorem*, we know that  $|2^{S}| > |S|$ .

5. There must be some languages for which one can not write acceptor programs, i.e. undecidable languages.

#### **Programming Exercise-I**

String Matching Problem *MATCH*(*A*, *B*)

**Input**: Lists of strings  $A = \langle s_1, s_2, ..., s_n \rangle$  and  $B = \langle t_1, t_2, ..., t_n \rangle$  of equal length. **Output**: YES if there is a sequence of combining elements that produces same string for both lists. NO, otherwise.

Formally, decide whether there exists a finite sequence (of any length)  $1 \le i_1, i_2, \dots, i_m \le n$ 

such that

$$s_{i_1}s_{i_2}\dots s_{i_n} = t_{i_1}t_{i_2}\dots t_{i_n}.$$

## **Programming Exercise-I**

Example 1: Input:  $A = \langle 110.00 \rangle$ 

Input:  $A = \langle 110, 0011, 0110 \rangle$  and  $B = \langle 110110, 00, 110 \rangle$ .

**Output**: YES.

Since sequence 2, 3, 1 gives the same strings  $s_2 s_3 s_1 = 00110110110$  and  $t_2 t_3 t_1 = 00110110110$ 

Example 2:  $A = \langle 0011, 11, 1101 \rangle$  and  $B = \langle 101, 011, 110 \rangle$ .

Example 3: A = (100,0,1) and B = (1,100,0).

#### Finite State Automata

## Finite State Automata





 Introduced first by two neuro-psychologists Warren S. McCullough and Walter Pitts in 1943 as a model for human brain.

No coin

S

Coin

Ready\_dispence

С

Not\_ready

- Finite automata can naturally model microprocessors and even software programs working on variables with bounded domain
- Capture so-called regular languages that occur in many different fields (regular expression, monadic second-order logic, algebra)
- Nice theoretical properties
- Applications in digital circuit/protocol verification, compilers, pattern recognition, etc.

# Calculemus!

- Let us observe our mental process while we compute the following:
  - Recognize language of strings of an even length.
  - Recognize language of binary strings with an even number of 0's.
  - Recognize language of binary strings with an odd number of O's.
  - Recognize language of strings containing your identikey.
  - Recognize language of binary (decimal) strings multiple of 2.
  - Recognize language of binary (decimal) strings multiple of 3.
  - Recognize language of binary strings with equal number of 0's and 1's.
  - Recognize language of binary strings of the form  $0^n 1^n$
  - Recognize language of binary strings with a prime number of 1's

#### Finite State Automata: Examples

1. Automaton accepting strings of even length:



#### Finite State Automata: Examples

2. Automaton accepting strings with an even number of 1's:



### Finite State Automata: Examples

3. Automaton accepting binary strings characterizing an even number:



#### Finite State Automata: Definition



A finite state automaton is a tuple  $(S, \Sigma, \delta, s_0, F)$ , where:

- *S* is a finite set called the states,
- $\Sigma$  is a finite set called the alphabet,
- $\delta: S \times \Sigma \to S$  is the transition function,
- $s_0 \in S$  is the start state, and
- $F \subseteq S$  is the set of accept states.

**Example**: The automaton in the figure above can be represented as  $(S, \Sigma, \delta, s_0, F)$ , where

• 
$$S = \{E, O\}, \Sigma = \{0, 1\}, s_0 = E, F = \{E\},$$

- and transition function  $\,\delta$  is such that
  - $\delta(E,0) = E$ ,
  - $\delta(E, 1) = 0$ , and
  - $\delta(0,0) = 0$ ,
  - $\delta(0,1) = E$ .

# State Diagram

Let's draw the state diagram of the following automaton  $(S, \Sigma, \delta, s_1, F)$ :

- $S = \{s_1, s_2, s_3\}$
- $\Sigma = \{0,1\},$
- $\delta$  is given in a tabular form below:

S	0	1
<i>s</i> <sub>1</sub>	<i>s</i> <sub>1</sub>	<i>s</i> <sub>2</sub>
s <sub>2</sub>	<i>S</i> <sub>3</sub>	<i>S</i> <sub>2</sub>
S <sub>3</sub>	<i>s</i> <sub>2</sub>	<i>S</i> <sub>2</sub>

•  $s_1$  is the initial state, and

• 
$$F = \{s_2\}.$$

## Semantics (Meaning) of Finite State Automata



A finite state automaton (DFA) is a tuple  $(S, \Sigma, \delta, s_0, F)$ , where:

- *S* is a finite set called the states,
- $\Sigma$  is a finite set called the alphabet,
- $\delta: S \times \Sigma \to S$  is the transition function,
- $s_0 \in S$  is the start state, and
- $F \subseteq S$  is the set of accept states.
- A computation or a run of a DFA on a string  $w = a_0 a_1 \dots a_{n-1}$  is the finite sequence

$$s_0, a_1, s_1, a_2, \dots, a_{n-1}, s_n$$

where s0 is the starting state, and  $\delta(s_{i-1}, a_i) = s_{i+1}$ .

- A run is accepting if the last state of the unique computation is an accept state, i.e.  $s_n \in F$ .
- The Language of a DFA A

 $L(A) = \{w : \text{the unique run of } A \text{ on } w \text{ is accepting} \}.$ 

• A language is called regular if it is accepted by a finite state automata.

# Examples

- Recognize language of strings of an even length.
- Recognize language of binary strings with an even number of 0's.
- Recognize language of binary strings with an odd number of O's.
- Recognize language of strings containing your identikey.
- Recognize language of binary (decimal) strings multiple of 2.
- Recognize language of binary (decimal) strings multiple of 3.
- Recognize language of binary strings with equal number of 0's and 1's.

- Recognize language of binary strings of the form  $0^n 1^n$
- Recognize language of binary strings with a prime number of 1's 🛛 😫
- Recognize language of binary strings that end with a 0.
- Recognize language of binary strings that begin with a 1.

## Properties of Regular Languages

- Let *A* and *B* be languages (remember they are sets). We define the following operations on them:
  - Union:  $A \cup B = \{w : w \in A \text{ or } w \in B\}$
  - Concatenation:  $AB = \{wv : w \in A \text{ and } v \in B\}$
  - Closure (Kleene Closure, or Star):

$$A^* = \{w_1 w_2 \dots w_k : k \ge 0 \text{ and } w_i \in A\}.$$

Or,  $A^* = \bigcup_{i \ge 0} A_i$  where  $A_0 = \emptyset, A_1 = A, A_2 = AA$ , and so on.

Theorem. Regular languages are closed under union, intersection, concatenation, and Kleene star.